

Risk Management System and Procedures of

Dharamshi Securities Pvt. Ltd.

DHARAMSHI SECURITIES PVT. LTD.

Our Risk Management system and procedures

In order to have a robust risk management system, and to comply with the regulatory requirements, we stress on the following aspects:

- 1) Our user IDs for NEAT and BOLT terminals are created after our employees have cleared the necessary certification exams as needed by the exchanges.
- 2) Proper trading limits with respect to volume and value are assigned to **each trading terminal**.
- 3) Regular monitoring of trades through administration terminals provided by the exchanges.
- 4) In case of CTCL servers and terminals, similar care has been taken.
- 5) Regular participation in mock trading sessions conducted by the exchanges to get acquaintance of the changes, so as to minimize the chances of errors.
- 6) All User IDs are password protected.
- 7) Limits of Dealers' IDs are updated based on the client margin handled by the respective dealer.
- 8) Regular intimation of futures, which are banned for trading by the exchanges due to their limits been exceeded the 95% of the Market Wide Position Limits.